

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

Srinivas Gutta, et al.

US010471 (702052)

Serial No.: To be assigned

Filed: CONCURRENLTLY

Title: SYSTEM AND METHOD OF FACE RECOGNITION THROUGH 1/2 FACES

Commissioner for Patents  
Washington, D.C. 20231

#2  
J1017 U.S. PTO  
09/966436  
09/28/01

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

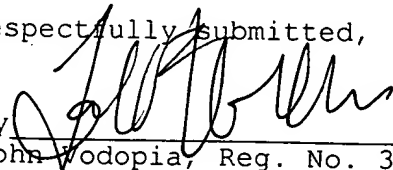
Sir:


Enclosed is a Form PTO-1449 and copies of documents listed thereon. These documents are considered to be relevant in that they have been:

- ☒ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application; or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application a copy of which report is also enclosed.
- ☐ I hereby certify that these documents were cited in said search report not more than three (3) months ago.
- ☐ Please charge any fee under 1.17(p) for this Information Disclosure Statement to be considered, not exceeding \$180.00, to Account No. 14-1270.

If readily available, English-language counterparts have been substituted for foreign-language patent documents. This disclosure is not an admission that any of these documents is material to or even prior art with respect to the above-referenced application.

Respectfully submitted,

By   
John Wodopia, Reg. No. 36,299  
(for Gregory L. Thorne, Reg. No. 39,398)  
Attorney  
(914) 333-9627

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US010471 (702052)		Serial No. To be assigned		<div style="text-align: center;">  </div> <div style="writing-mode: vertical-rl; transform: rotate(180deg); position: absolute; right: 0; top: 0;">           11017 U.S. PTO            09/966436            09/28/01         </div>			
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant Srinivas Gutta, et al.				Filing Date Herewith		Group Unassigned	
<b>U.S. PATENT DOCUMENTS</b>									
Ex. Int.		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.		
	AA								
	AB								
	AC								
	AD								
	AE								
<b>FOREIGN PATENT DOCUMENTS</b>									
		Document Number	Date	Country	Class	Sub- class	Trans.		
							Yes	No	
	AF								
	AG								
	AH								
	AI								
<b>OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)</b>									
	AJ	Colmenarez A.J., et al., "Maximum Likelihood Face Detection", Proceedings of the Second International Conference on Automatic Face and Gesture Recognition, October 14-16, 1996							
	AK	Gutta S., et al., "Mixture of Experts for Classification of Gender, Ethnic Origin, and Pose of Human Faces", IEEE Transactions of Neural Networks, Vol. 11, No. 4, July 2000							
	AL	Bishop C.M., "Neural Networks for Pattern Recognition", pp. 179-182							
	AM	Kittler J., et al., "Combining Classifiers", Proceedings of the ICPR, Vol. II, Track B, 1996							
	AN	Liu Z., et al., "Robust Head Motion Computation by Taking Advantage of Physical Properties", IEEE Computer Society, December 7-8, 2000, pp. 73-77							
Examiner					Date Considered				
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.									